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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/976,038	10/15/2001	Timothy W. Dygert	1617.1001CIP	2537
20306 7.	590 09/11/2006		EXAM	INER
MCDONNEL	L BOEHNEN HULBEI	RT & BERGHOFF LLP	ALI, MOH	AMMAD
300 S. WACKI 32ND FLOOR	<del>-</del>		ART UNIT	PAPER NUMBER
CHICAGO, IL			2166	
			DATE MAILED: 09/11/2000	5

Please find below and/or attached an Office communication concerning this application or proceeding.



# UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

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APPLICATION NO./ CONTROL NO.	FILING DATE		FIRST NAMED INVENTOR / PATENT IN REEXAMINATION		ATTORNEY DOCKET NO.
	10/15/01	4. Dygertet		tal.	
		•	70		EXAMINER
				M.	Ali
				ART UNIT	PAPER
				2166	20060906

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

Request for correction of Inventorship under 37 CFR 1.48(a) filed on April 11, 2005 acknowledged and approved.

Mohammad Ali Primary Examiner

Art Unit: 2166

			•					PATENT	
		IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Case No. 1617.1001CIP (MBHB 03-622-A)		RECEIVED CENTRAL FAX CENTER	₹				
	in re Ar	oplicatio	on of:			)	•	APR 1 1 2005	
			Timothy W. D	ygert		)	Examiner: Ali, Mohammad	•	
Serial No.:		No.:	09/976,038						
	Filed:		October 15, 2	2001		) )	,	·	
	For:	_	ck Device Havi unication with f	-				·	
		,		FAC	SIMILE	TRANSA	MITTAL LETTER		
7	P.O. Bo	x 1450	for Patents ) 22313-1450				•		
	Attn: Sir:		of Petitions 703) 872-9306	;			Number of Pages: 34 Including this Transmittal	<u>Letter</u>	
		In rega	ard to the above	e identifie	d applicat	tion:		,	
		1.	We are transm	nitting he	rewith the	attache	d:		
			a. Request for	or Correc	tion of Inv	entorshi	ip under 37 CFR § 1.48(a) includ	ling Exhibits A - E	
		2.	With respect t	to addition	nal fees:			•	
			A. B.		_	-	O to Deposit Account No. 13- e amount of \$	·24 <del>9</del> 0.	
		3.	Please charge No. 13-2490		itional fee:	s or cred	dit overpayment to Deposit Acco	эц <b>nt</b>	
		4.	Transmittal Le	etter and smitted to	the pape the U.S.	ers, as e	R § 1.8: The undersigned hereb described in paragraph 1 hereb and Trademark Office (Fax No. (	inabove, are being	
							' 1 // //		

Reg. No. 50,469

Median/Ell Bozinen, Hilbert & Berghoff Ilp 100 South Wacker Drive CHCACO, Ellindis 60605 TELEPHONE (912) 913-0001 FACSIMILE: (312) 913-0002

APR 1 1 2005

PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Case No. 1617.1001CIP (MBHB 03-622-A)

In re Application of:	)
Timothy W. Dygert	) Group Art Unit: 2177
Serial No.: 09/976,038	Examiner: Ali, Mohammad
Filed: October 15, 2001	<b>)</b>
For: Playback Device Having	, )
Text Display and Communication	)
with Remote Database of Titles	)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# REQUEST FOR CORRECTION OF INVENTORSHIP UNDER 37 CFR § 1.48(a)

### Dear Sir:

Applicants respectfully request the following inventors to be added to this application:

Scott A. Jones of 1150 West 116th Street, Carmel, Indiana 46032; and

Douglas M. Keenan of 505 Tamarack Lane, Noblesville, Indiana 46060

The omission of Scott A. Jones and Douglas M. Keenan occurred without deceptive intent on the part of Timothy W. Dygert, Sethu K. Madhavan, Gerard V. Talatinian, Scott A. Jones, or Douglas M. Keenan.

## Enclosed with this Request are:

A statement from Scott A. Jones stating that the error in inventorship occurred without deceptive intention on his part;

- A Declaration and Power of Attorney as required by CFR § 1.63 signed by all the actual inventors except the non-signing inventor, Douglas Keenan;
- Consent of Assignee Pursuant to 37 CFR §3.73(b);
- Petition under 37 CFR §1.47(a) to make the application on behalf of the non-signing inventor, Douglas Keenan;
- A signed Declaration of Facts of Joseph A. Herndon in Support of the Petition under 37 CFR §1.47(a) together with Exhibits A-E;
- Payment in the amount of \$130.00 for the processing fee set forth in CFR § 1.17(h) for the Petition under 37 CFR § 1.47(a);
- Payment in the amount of \$130.00 for the processing fee set forth in CFR § 1.17(i) for this Request for Correction of Inventorship under 37 CFR § 1.48(a);

Respectfully submitted,

McDonnell Boehnen Huibert & Berghoff LLP

Date: 4/11/05

Iceph A Hem

Reg No 50 469

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Case No. 1617.1001CIP (MBHB 03-622-A) In re Application of: Timothy W. Dygert Serial No.: 09/976,038 Filed: October 15, 2001 For: Playback Device Having Text Display and Communication with Remote Database of Titles Tase No. 1617.1001CIP RECEIVED CENTER APR 1 2005 Examiner: Ali, Mohammad Provided Communication With Remote Database of Titles

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## STATEMENT FROM SCOTT A. JONES

Dear Sir:

I, Scott A. Jones, residing at 1150 West 116<sup>th</sup> Street, Carmel, Indiana 46032, hereby affirm that the error in inventorship in U.S. Patent Application Serial No. 09/976,038 occurred without deceptive intention on my part.

Respectfully Submitted.

Scott A. Jones

Case No.: 1617.1001CIP (MBHB 03-622-A)

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# PLAYBACK DEVICE HAVING TEXT DISPLAY AND COMMUNICATION WITH REMOTE DATABASE OF TITLES

the specification of which was filed on October 15, 2001 and has serial number 09/976,038.

I believe the following persons to be inventors of the above-referenced application: (1) Timothy W. Dygert of Columbia City, IN, (2) Sethu K. Madhavan of http://www.dec. (3) Gerard V. Talatinian of Indianapolis, IN, (4) Scott A. Jones of Carmel, IN, and (5) Douglas M. Keenan of Noblesville, IN.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Applicat	ion(s):	
Number	Country	Day/Month/Year Filed

I hereby chaim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number Filing Date

1.

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Application Number Filing Data Status: patented, pending, abandoned
1. 09/227,086 January 5, 1999 Patented (Now U.S. Patent No. 6,304,523)

I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and I direct that all correspondence be addressed to that Customer Number.

Customer Number: 020306	
Principal attorney or agent:	Bric Moran
Telephone number 312-913	

PAGE 1 of 2

Mediciolell BOPREM 300 SOUTH WACRER DRIVE LLP CHICAGO, LLINCIS EDECO TELEPHINNE (372) 913-0001

1.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first joint inventor. Timothy W. Dygert
Inventor's signature:  Residence:  Out of the signature o
Post Office Address: 371 S. Cross Creek Way, Columbia City, Indiana 46725
Full name of second joint inventor: Sethu K. Madhavan  Inventor's signature:  Date: 9/7/04
Residence: 2013 Suilbury Street, Naporville, Plinole 60864 49121 ROCKEFELLER DR, CANTON, MI 48183
Citizenship: India Post Office Address: 2012 Surlbury Street, Neperville, Illinois 10064 49121 ROCKE FELLER DR. CANTON, MI 48189
Full name of third joint inventor: Gerard V. Talatinian
Inventor's signature: Date: 0902/200+ Residence: 7573 Prairie View Lane, Indianapolls, Indiana 46256
Citizenship: United States of America Post Office Address: 7572 Prairie View Lane, Indianapolis, Indiana 46256
Full name of fourth joint inventor: Scott & Jones
Inventor's signature: Date: 9-19-04
Residence: 1150West 116" Street, Carmel, Indiana 46032 Citizenship: United States of America Post Office Address: 1150 West 116" Street, Carmel, Indiana 46032
Post Office Address. 1130 West 110 Strong Calman 40032
The same of State in the same of the Contract of the same of the s
Full name of fifth joint inventor: Douglas M. Keenan
Inventor's signature: Date:
Citizenship: United States of America Post Office Address: 505 Tamarack Lane, Noblesville, Indiana 46060

PAGE 2 of 2

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Case No. 1617.1001CIP (MBHB 03-622-A)

RECEIVED CENTRAL FAX CENTER

In re Application of:
Timothy W. Dygert

Serial No.: 09/976,038

Filed: October 15, 2001

For: Playback Device Having
Text Display and Communication
with Remote Database of Titles

APR 1 2005

Examiner: Ali, Mohammad

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## CONSENT OF ASSIGNEE PURSUANT TO 37 CFR 3.73(b)

Dear Sir:

Digital Networks North America, Inc. (DNNA) is the assignee of U.S. Patent Application No. 09/976,038. DNNA consents to the correction of inventorship under 37 CFR § 1.48(a) for this patent application. In particular, DNNA consents to the addition of Scott A. Jones of 1150 West 116th Street, Carmel, Indiana 46032, and Douglas M. Roman of 505 Tamarack Lane, Noblesville, Indiana 46060 to the inventorship of this patent application.

Thus, the inventorship for U.S. Patent Application No. 09/976,038 includes Tim Dygert, Sethu Madhavan, Gerard Talatinian, Scott A. Jones, and Douglas M. Keenan. An assignment executed by Tim Dygert, Sethu Madhaven, and Gerard Talatinian assigning to DNNA their entire rights to the above-referenced invention accompanies this consent. Further, Scott A. Jones and Douglas M. Keenan executed an assignment transferring their entire interest in the invention of U.S. Patent Application Serial No. 09/227,086 (the parent application to which the present

Micologia, engicier Palacità e eschept ill 200 Bouth Wacker Drive Invidanta e trosi Il 1808 Bassi continuation-in-part application claims priority) and their sutire interest in any continuation-inpart obtained for the same invention to DNNA. That assignment is recorded at rool 014462 and frame 0133.

The undersigned is authorized to act on behalf of Digital Networks North America, Inc. (DNNA).

Date: 8/24/04

Respoulfully submitted,

David Meisels
General Counsel

Digital Networks North America, Inc.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Case No. 1617.1001CIP (MBHB 03-622-A) In re Application of: Timothy W. Dygert Serial No.: 09/976,038 Filed: October 15, 2001 For: Playback Device Having Text Display and Communication with Remote Database of Titles Take October 15, 2001 Total Patential Pax Center APR 1 1 2005 Examiner: Ali, Mohammad Description Text Display and Communication With Remote Database of Titles Text Display and Communication Text Display and Communicatio

### PETITION UNDER 37 C.F.R. §1.47(a)

Sir:

Commissioner for Patent

Alexandria Virginia 22313-1450

P.O. Box 1450

Because Douglas Keenan, one of the inventors for the present application, refuses to sign the oath and declaration under 37 C.F.R. § 1.63 and a Statement indicating that the error in inventorship in U.S. Patent Application Serial No. 09/976,038 occurred without deceptive intention on his part, Applicants submit this Petition Under 37 C.F.R. § 1.47(a) to make the application and the Statement on behalf of Douglas Keenan.

As proof of diligence, Applicants submit the accompanying Declaration of Joseph A. Herndon, registered patent agent and employee of McDonnell Boehnen Hulbert & Berghoff, a law firm having offices at 300 South Wacker Drive, Chicago, Illinois 60606, including its Exhibits A-E setting forth attempts to obtain the signature of the non-signing inventor.

McDonnell Bochnen Hulbert &Berghoff LLP 300 S. Wacker Drive Chicago, Illinois 60606 (312) 913-0001 Douglas Keenan's last known address is 505 Tamarack Lane, Noblesville, Indiana 46060.

The required petition fee of \$130.00 under 37 C.F.R. §1.17(b) is enclosed herewith.

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff LLP

Date: 4/1/05

Joseph A. Herndon Reg. No. 50,469

IN THE UNITED STATES PAT Case No. (MBHE	RECEIVED CENTRAL FAX GENTER	
In re Application of: Timothy W. Dygert	) ) Group Art Unit: 2177	APR 1 1 2005
Serial No.: 09/976,038	) Examiner: Ali, Mobammad	
Filed: October 15, 2001		
For: Playback Device Having Text Display and Communication with Remote Database of Titles	) }	
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		

# DECLARATION OF FACTS BY JOSEPH A. HERNDON IN SUPPORT OF PETITION UNDER 37 C.F.R. §1.47(a)

This Declaration of Facts is made as to the facts that are relied upon to show the diligent efforts that were made to secure the execution of the Combined Declaration and Power of Attorney and the Statement in support of the Request for Correction of Inventorship under CFR § 1.48(a) by the omitted inventor, Douglas Keenan, for the above-referenced patent application after deposit in the U.S. Patent and Trademark Office.

This Declaration of Facts is being made by an available person having first-hand knowledge of the facts recited therein.

1. I, Joseph A. Herndon, am a patent agent registered to practice before the U.S. Patent and Trademark Office: Registration No. 50,469.

McDonneti Boehnen Hulbert &Borghoff LLP 300 S. Wacker Drive Chicago, Illinois 60606 (312) 913-0001

APR-11-05 15:06 From:MCDONNELL 3128035570 T-736 P.12/34 Job-810

2. I am employed by McDonnell Boehnen Hulbert & Berghoff, a law firm having offices at

300 South Wacker Drive, Chicago, Illinois 60606.

3. On October 15, 2001, Richard A. Gollhofer, an employee of the law firm of Staas &

Halsey and registered to practice before the U.S. Patent and Trademark Office, Registration No.

31,106, filed a continuation-in-part patent application (hereinafter "the present application")

claiming priority to U.S. Patent Application Serial No. 09/227,086 (hereinafter "the '086

application").

4. The '086 application and the present application were transferred to the law firm of

McDonnell Boehnen Hulbert & Berghoff on or about May of 2003 and Powers of Attorney by

Assignee of Entire Interest and Revocation of Prior Powers for both applications is attached as

Exhibit A.

5. On October 16, 2003, Mr. Eric Moran, an employee of McDonnell Boehnen Hulbert &

Berghoff and a patent attorney registered to practice before the U.S. Patent and Trademark

Office, Registration No. 50,967, filed a reissue patent application for U.S. Patent No. 6,304,523,

entitled "Playback Device Having Text Display and Communication with Remote Database of

Titles," now Application Serial No. 10/687,510 (hereinafter "the reissue patent application") at

the request of Escient, a Delaware corporation, having a place of business at 2841 Mission

College Boulevard, Santa Clara, California 95054.

McDonnell Bochnen
Hulbert & Berghoff LLP
300 S. Wacker Drive
Chicago, Illinois 60606
(312) 913-0001

T-736 P.13/34 Job-810

APR-11-05 15:08 From: MCDONNELL 3128035570

6. The reissue patent application was filed without a signed Declaration and Power of

Attomey.

7. On May 28, 2004, I sent a package via United States Postal Service Express Mail to Mr.

Keenan (one of the named inventors of the reissue patent application) at 505 Tamarack Lane,

Noblesville, Indiana 46060. The package contained copies of the reissue patent application, a

Declaration and Power of Attorney for the reissue patent application, a cover letter asking Mr.

Keenan to sign and return the Declaration and Power of Attorney for the reissue patent

application, along with a pre-paid and pre-addressed return Fed Ex envelope addressed to me at

the Offices of McDonnell Boehnen Hulbert & Berghoff. A copy of the letter is attached as

Exhibit B.

8. On June 9, 2004, I sent a second package via United States Postal Service Express Mail

to Mr. Keenan at 505 Tamarack Lane, Noblesville, Indiana 46060. The package contained a

second set of copies of the reissue patent application, a Declaration and Power of Attorney for

the reissue patent application, a cover letter asking Mr. Keenan to sign and return the documents,

along with a pre-paid and pre-addressed return Fed Ex envelope addressed to me at the Offices

of McDonnell Boehnen Hulbert & Berghoff. A copy of the letter is attached as Exhibit C.

9. During the weeks of May 24, 2004, May 31, 2004, June 7, 2004, June 14, 2004, and June

21, 2004, I called Mr. Keenan at (317) 776-1144 and (317) 776-1404 (e.g., phone numbers

discovered from a white pages telephone search) and left several voicemails requesting that he

call me at the Offices of McDonnell Boehnen Hulbert & Berghoff.

McDonnell Bochnen Hulbert & Berghoff LLP 300 S. Wacker Drive Chicago, Illinois 60606 (312) 913-0001

T-736 P.14/34 Job-810

APR-11-05 15:08 From: MCDONNELL 3128035570

10. On June 23, 2004, I received an envelope containing a letter and another envelope

containing another letter. A copy of both envelopes and both letters are attached as Exhibit D.

As shown, the letters were written by Douglas Keenan and indicate that he has no interest in

signing anything for the reissue patent application.

11. On March 23, 2005, I performed a white pages search to determine the current address of

Douglas Keenan. The search returned the same address: 505 Tamarack Lane, Noblesville,

Indiana 46060.

12. On March 23, 2005, I sent another package via Federal Express Mail to Mr. Keenan at

505 Tamarack Lane, Noblesville, Indiana 46060. The package contained a set of copies of the

present patent application, a Declaration and Power of Attorney for the present patent

application, a cover letter asking Mr. Keenan to sign and return the documents, along with a pre-

paid and pre-addressed return Federal Express envelope addressed to me at the Offices of

McDonnell Boehnen Hulbert & Berghoff. A copy of the letter is attached as Exhibit E.

13. On April 1, the March 23, 2005 letter was returned as an undeliverable package in that

Doug Keenan no longer lives at that address.

14. As of this date, we have not received a reply from Mr. Keenan regarding the Declaration

and Power of Attorney for the present application.

McDonnell Bothnen Hulbort &Berghoff LLP 300 S. Wacker Drive Chicago, Illinois 60606 (312) 913-0001

I hereby declare that all statements made herein to the best of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 4/1/15

Joseph A. Herndon Reg. No. 50,469

Patent Agent

McDonnell Boehnen Hulbert & Berghoff

300 South Wacker Drive Chicago, Illinois 60606 Telephone: 312-913-0001 Facsimile: 312-913-0002

McDonnell Bochnen Hulbart &Berghoff LLP 300 S. Wacker Drive Chicago, Illinois 60606 (312) 913-0001